

NEWS

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Legacy Wealth Management, Inc. Named to 2021 CNBC FA 100

Memphis, TN – October 6, 2021 – CNBC has recognized Legacy Wealth Management, Inc. as one of the 100 top-rated financial advisory firms of 2021 nationwide.

The [CNBC FA 100](#), is a ranked list that recognizes the top advisory firms that are helping clients navigate through their financial lives. The advisory firms on the CNBC FA 100 list average 34.8 years in business and have more than \$440 billion in investor assets under management.

“For almost 40 years, our firm has been proud to serve clients across the country as a fiduciary. Client goals and satisfaction have been, and will always be, our number-one priority,” says Jim Isaacs, chief executive officer. “Each Legacy client has access to a five- or six-member team of Certified Financial Planner™ professionals that is familiar with their accounts and goals, both financially and non-financially related. This team-based approach to service provides the best avenue for clients to reach one of our professionals when needed to address their needs quickly and accurately. It’s a model that has served our clients and employees well over the years. During the past year, we’ve enjoyed significant growth of the firm, with over 1,200 clients—most recently we celebrated our 175th FedEx pilot client. Most importantly though, we continue to maintain our 98% client retention rate year over year.”

METHODOLOGY

KEY POINTS

- The methodology for the 2021 edition of CNBC’s annual FA 100 ranking of registered investment advisors was prepared in partnership with data provider AccuPoint Solutions.
- A variety of core data points from AccuPoint Solutions’ database of RIAs were analyzed, ranging from the firm’s compliance record and years in business to total accounts and assets under management.

CNBC enlisted data provider AccuPoint Solutions to assist with the ranking of registered investment advisors for this year's FA 100 list.

The methodology consisted of first analyzing a variety of core data points from AccuPoint Solutions' database of registered investment advisors. This analysis started with an initial list of 38,302 RIA firms. Through a process, the list was eventually cut to 749 RIAs, with those firms meeting CNBC's proprietary criteria. (All the data collected from the RIAs was information culled from the firm's filings with the Securities and Exchange Commission.)

CNBC staff sent an email survey to all those firms that met the initial criteria to gather more details. AccuPoint again applied CNBC's proprietary weighted categories to further refine and rank the firms, ultimately creating the list of the top 100.

The primary data points used in the analysis were reviewed, either as a minimum baseline or within a range, eliminating those firms that did not meet CNBC's requirements. Once the initial list was compiled, weightings were also applied accordingly. These data points included:

- Advisor's compliance record
- Number of years in the business
- Number of employees
- Number of investment advisors registered with the firm
- The ratio of investment advisors to total number of employees
- Total assets under management
- Percentage of discretionary assets under management
- Total accounts under management
- Number of states where the RIA is registered
- Country of domicile

ABOUT LEGACY WEALTH MANAGEMENT, INC.

Legacy Wealth Management employs 23 wealth management professionals, including 13 CERTIFIED FINANCIAL PLANNER™ practitioners, and manages over \$2 billion in assets. The Memphis-based firm has been in business almost 40 years and provides portfolio management and financial planning services to over 1,200 clients.